Binary asteroid population. 2. Anisotropic distribution of orbit poles of small, inner main-belt binaries

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A R T I C L E   I N F O

Article info:

Article history:
Received 26 July 2011
Revised 25 October 2011
Accepted 18 November 2011
Available online 1 December 2011

Keywords:
Asteroids

A B S T R A C T

Our photometric observations of 18 main-belt binary systems in more than one apparition revealed a strikingly high number of 15 having positively re-observed mutual events in the return apparitions. Our simulations of the survey showed that it cannot be due to an observational selection effect and that the data strongly suggest that poles of mutual orbits between components of binary asteroids in the primary size range 3–8 km are not distributed randomly: The null hypothesis of an isotropic distribution of the orbit poles is rejected at a confidence level greater than 99.99%. Binary orbit poles concentrate at high ecliptic latitudes, within 30° of the poles of the ecliptic. We propose that the binary orbit poles oriented preferentially up/down-right are due to either of the two processes: (i) the YORP tilt of spin axes of their...
parent bodies toward the asymptotic states near obliquities 0° and 180° (pre-formation mechanism) or (ii) the YORP tilt of spin axes of the primary components of already formed binary systems toward the asymptotic states near obliquities 0° and 180° (post-formation mechanism). The alternative process of elimination of binaries with poles closer to the ecliptic by dynamical instability, such as the Kozai effect due to gravitational perturbations from the Sun, does not explain the observed orbit pole concentration. This is because for close binary asteroid systems, the gravitational effects of primary's irregular shape dominate the solar-tide effect.

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1. Introduction

Orientation of the orbital plane of components in a binary asteroid can be estimated from photometric observations of their mutual events—occultations/eclipses. It requires observations taken over a range of geometries of the system with respect to Earth and Sun. Scheirich and Pravec (2009) derived or constrained orbit poles of five near-Earth asteroid binaries, taking advantage of rapid changes of viewing geometries of the near-Earth binaries during their approaches to Earth. Binary systems in the main belt of asteroids show a limited change of observing geometry during one apparition1 and observations over 2–3 apparitions are typically needed to estimate the orientation (ecliptic longitude and latitude of the pole) of the mutual orbit for a main-belt asteroid (MBA) binary.

We run a photometric survey for binaries among small asteroids since 2005. Among 477 MBAs surveyed until May 2011, we found 45 binaries. Of them, 18 were re-observed in their return apparitions. Using the technique of Pravec et al. (2006) and Scheirich and Pravec (2009), we analysed the data and estimated or constrained mutual orbits of the 18 binaries observed in 2–3 apparitions. An interpretation of the sample of derived binary parameters must take into account existing observational biases, see a theory of the selection effects of the photometric technique of binary detection presented in Section 2. A direct estimation of the biases present in the discovered sample of binaries is complicated by a limited probability of covering the mutual event with a given set of observations. An interpretation of the sample of derived binary parameters must take into account existing observational biases, see a theory of the selection effects of the photometric technique of binary detection presented in Section 2. A direct estimation of the biases present in the discovered sample of binaries is complicated by a limited probability of covering the mutual event in a binary with a priori unknown orbit period with a given set of survey observations. This complication is overcome with analysis of the statistics of re-detections of mutual events in the binaries in their return apparitions. The key advantage is that a time distribution of the planned follow-up observations of the binaries in the return apparitions was matched to their orbit periods determined in the discovery apparition, which made our simulations of the observational selection effects feasible.

2. Probability of photometric detection of a binary asteroid

The probability of the photometric detection of a binary asteroid is formulated as follows:

\[ P_{\text{det}} = P_{\text{me}} P_{\text{cov}} P_{\text{res}} \]  

where \( P_{\text{me}} \) is a probability of occurrence of a mutual event (occultation or eclipse) between the components of the system, \( P_{\text{cov}} \) is a probability of covering the mutual event with a given set of observations, and \( P_{\text{res}} \) is a probability of resolving the mutual event with the given photometric observations.

The probability of occurrence of a mutual event depends on the parameters of the system:

\[ P_{\text{me}} = P_{\text{me}}(\epsilon, a_{\text{orb}}, e, D_1, D_2, \text{component shapes}, \text{phase effect}) \]

where \( \epsilon \) is an obliquity of the mutual orbit of the binary components, \( a_{\text{orb}} \) and \( e \) are its semimajor axis and eccentricity, \( D_i \) is a mean diameter of the \( i \)-th component (\( D_2 \leq D_1 \)), and the probability also depends on shapes of the components and their phase effect. In a general case, the \( P_{\text{me}} \) function is complex and it can be described with a numerical model. A qualitative understanding can be, however, obtained with analysing the special case of a system with spherical components, zero eccentricity of the mutual orbit, and zero solar phase, for which we get the following analytical formula:

\[ P_{\text{me}} = \begin{cases} 1, & \text{if } \epsilon \leq i_c \text{ or } \epsilon \geq (\pi - i_c), \\ \frac{1}{2} \arcsin \frac{\sin \epsilon}{\sin i_c}, & \text{if } i_c < \epsilon < (\pi - i_c), \end{cases} \]  

where

\[ i_c = \arcsin \frac{1 + D_2}{2 D_1}. \]

Fig. 1 shows the \( P_{\text{me}} \) function for three values of \( \sin i_c \), which cover a range of this parameter for the binaries in our studied sample. The abscissa of \( \cos \epsilon \) was chosen because an isotropic distribution of poles gives an uniform distribution in \( \cos \epsilon \). The plot illustrates the existing observational selection effect of the photometric method favoring detections of binaries with obliquities close to 0° and 180°. The probability of occurrence of mutual events reaches a minimum at obliquity \( \epsilon = \frac{\pi}{2} : P_{\text{me}}(\epsilon = \frac{\pi}{2}) = \frac{1}{2} i_c \), but it increases only slowly with increasing \( \cos \epsilon \) until \( \epsilon \) reaches ∼30° or 150°. This selection effect causes that an observed distribution of \( \cos \epsilon \) has a median value of ∼0.7 for an original isotropic distribution of orbit poles (which has the median \( \cos \epsilon \) of 0.5). In other words, the selection effect modifies the original isotropic distribution with a half of systems having obliquities within ±30° of 90° to an observed
distribution with a half of observed binaries with obliquities within about ±45° of 90°.

The mean probability of occurrence of mutual events is

\[ P_{me} = \int_0^\infty \frac{p_{me}(\epsilon) f(\epsilon) \, d\epsilon}{\int_0^\infty f(\epsilon) \, d\epsilon}, \]

where \( f(\epsilon) \) is a number density of binary orbit poles. In the case of the isotropic distribution of binary orbits, \( f(\epsilon) = \sin \epsilon \), we get

\[ P_{me} = 1 - \cos \iota_0 + \int_0^{\pi/2} p_{me}(\epsilon) \sin \epsilon \, d\epsilon. \]

After further manipulation, we get

\[ P_{me} = \sin \iota_0 = \frac{1 + D}{2 - \frac{D}{2}}. \]

This describes another selection effect of the photometric method favoring detections of close systems; the mean probability of occurrence of mutual events for the isotropic distribution of orbit poles is inversely proportional to the relative distance \( a_{\text{orb}}/D_1 \) between the components. This selection effect is apparent also from Eq. (3) and Fig. 1, with \( p_{me} \) being greater for closer systems with greater \( \iota \). We point out that for real observations taken generally at non-zero solar phases, the mutual event occurrence probabilities are increased by a factor between 1 and 2 depending on the actual solar phase.

The probability of covering the mutual event with a given set of observations:

\[ p_{\text{cov}} = p_{\text{cov}}(P_{\text{orb}}, \text{time distribution of observations}), \]

where \( p_{\text{cov}} \) is a mutual orbit period of the binary components. For a given distribution of observations, the probability has to be computed with a numerical model. Nevertheless, a general trend is that this probability decreases with increasing orbit period, further strengthening the selection effect towards close systems. This probability is usually less than 1 for observations of a previously unknown binary where the orbit period is not known \textit{a priori} and thus a distribution of the observations cannot be matched to the orbit period. In a case of planned re-observations of a known binary with determined orbit period, however, the probability can be effectively set to 1 with scheduling the observations so that to cover the full orbit. This highly simplifies simulations of planned observations of known binaries in their return apparitions and allows us to constrain a distribution of their orbit poles with analysis of the re-observations in the post-discovery apparitions.

The probability of resolving mutual event, \( p_{\text{res}} \), depends on a depth of the mutual event (\( F \)) and photometric quality of the observations. The depth of (relative brightness attenuation in) a total secondary event at zero solar phase is \( F_{\text{sec}} = I_2/(I_1 + I_2) \), where \( I_i \) is a light flux from the \( i \)th component. For components with the same albedo observed at zero phase angle, it converts to \( F_{\text{sec}} = [1 + (D_1/D_2)^2]^{-1} \), and the depth of the primary event is \( F_{\text{prim}} = F_{\text{sec}} \). At non-zero phase angles and for non-central events, the depth of the mutual event is computed with a numerical model, assuming a specific scattering law. The photometric quality of the observations affects the probability of resolving the mutual event substantially. Generally, events with depth much greater than photometric errors of the observations are resolved with a probability approaching 1, while events with depth lower than the photometric errors are usually buried in the noise and the probability of resolving them is close to 0. For observations producing controlled and homogeneous data, the probability of resolving mutual event is approximated with a step function:

\[ p_{\text{res}} = \begin{cases} 0, & \text{if } F < F_{\text{lim}}, \\ 1, & \text{if } F \geq F_{\text{lim}}. \end{cases} \]

where \( F_{\text{lim}} \) is a minimum detectable relative brightness attenuation.

Being equipped with the theory of photometric detection of a binary asteroid, we will interpret our observations of binary asteroids presented in Section 3 with simulations of the survey observations given in Section 4.

3. Observations and binary parameters estimations

We run a long-term project of photometric observations of binary systems among small asteroids called "Photometric Survey for Asynchronous Binary Asteroids" since 2005. The collaborating station Palmer Divide Observatory runs a parallel survey project aimed at describing rotations and binary systems in the Hungaria asteroids group (Warner et al., 2009a,c). Both surveys used similar observing techniques and strategies, and they actually cooperated and coordinated their observations; there was a major overlap of the lists of stations participating in the two surveys. We joined observations of binaries made within the two cooperating surveys and analysed them together as they effectively worked as one joint binary asteroids survey.

Of 45 MBA binaries that we detected within the surveys by May 2011, 18 were re-observed in their return apparitions. The re-observations were done for binaries that returned in favorable observational conditions (brightness allowing getting photometric errors ≤0.03 mag, position in the sky allowing observations longer than a few hours and away from dense star fields at low galactic latitudes). No prediction of a probability of occurrence of mutual events in the second apparition based on observations from the first apparition was made, so the selection of binaries for re-observations was not affected by their orbit poles.

Using methods described in Pravec et al. (2006), Pravec and Harris (2007), and Scheirich and Pravec (2009), we estimated or constrained parameters of the 18 binary systems, they are listed in Table 1. The modeling technique and its modifications accounting for precession of the pericenter of an eccentric orbit and for possible presence of a third body in three of the studied systems are outlined in Section 3.1. The observations as well as additional estimated parameters are given in Electronic Supplementary Information. A comprehensive table with the estimated parameters, including their uncertainties and references, is available at web page http://www.asu.cas.cz/~asteroid/binastdata.htm. The original photometric data will be stored in ALCDEF archive (Stephens et al., 2010).

Of the 18 binaries observed in more than one apparition, we detected mutual events in 15 also upon their return. In the three cases of negative event detection in the return apparition, there were observed no apparent attenuations with relative depth of 4% or greater. We cannot rule out possible occurrence of very shallow events with depths below the 4%-event depth detection limit of our survey, due to grazing eclipses or occultations; an occurrence of such near-boundary events producing attenuations below the detection limit are accounted for in the model of the survey that we present in the next section.

In Table 2, we list epochs and asteroid’s ecliptic longitudes and latitudes with respect to Earth (\( L, B \)) and Sun (\( L_\odot, B_\odot \)) of the first observing session with positive event detection in both the discovery and the return apparitions for each of the 18 binaries. These were the key data for the simulations of the binary survey that we present in Section 4. For the three systems that did not show mutual events in the return apparition, we list an epoch of the observing session closest to the middle of the observational run (that lasted from 3 to 17 days in the three cases).

3.1. Binary models

We modeled the binary systems using the technique of Scheirich and Pravec (2009), modified to allow for precession of
Table 1
Parameters of 18 binary asteroids observed in more than one apparition.

<table>
<thead>
<tr>
<th>Binary system</th>
<th>Apparitions</th>
<th>$D_1$ (km)</th>
<th>$D_2/D_1$</th>
<th>$P_1$ (h)</th>
<th>$P_2$ (h)</th>
<th>$a_{bin}/D_1$</th>
<th>$L_p$ ($\circ$)</th>
<th>$B_p$ ($\circ$)</th>
<th>$\epsilon$ ($%$)</th>
<th>$\Delta t_{(c)}$</th>
<th>$\epsilon_{max}$</th>
<th>$a_0$ (AU)</th>
<th>$b_0$ ($\circ$)</th>
</tr>
</thead>
<tbody>
<tr>
<td>(1338) Duponta</td>
<td>07, 10</td>
<td>7.4</td>
<td>0.24 ± 0.02</td>
<td>3.8543 ± 0.0009</td>
<td>17.5680 ± 0.0001</td>
<td>17.57 ± 0.01</td>
<td>2.0</td>
<td>0-360</td>
<td>+66 to +90</td>
<td>0-21</td>
<td>3.3$^a$</td>
<td>0.14 (07)</td>
<td>2.264 4.82</td>
</tr>
<tr>
<td>(1453) Fennia</td>
<td>07, 09, 11</td>
<td>7.0</td>
<td>0.28 ± 0.02</td>
<td>4.4121 ± 0.0003</td>
<td>23.0035 ± 0.0005</td>
<td>2.6</td>
<td>89–118</td>
<td>-70 to -62</td>
<td>172–180</td>
<td>2.4$^b$</td>
<td>0.03 (11)</td>
<td>1.897 23.68</td>
<td></td>
</tr>
<tr>
<td>(1830) Fogson</td>
<td>07, 08, 10</td>
<td>7.8</td>
<td>0.30 ± 0.02</td>
<td>2.5703 ± 0.0006</td>
<td>24.24580 ± 0.0006</td>
<td>(2.5)</td>
<td>130–274</td>
<td>-86 to -74</td>
<td>162–180</td>
<td>3.4$^c$</td>
<td>0.10 (08)</td>
<td>2.188 3.95</td>
<td></td>
</tr>
<tr>
<td>(2060) Polonskaya</td>
<td>05, 08, 10</td>
<td>5.5</td>
<td>(0.23) ± 0.03</td>
<td>3.1180 ± 0.0001</td>
<td>19.153$^d$</td>
<td>(2.1)</td>
<td>2.325</td>
<td>4.92</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>(2044) Wirt</td>
<td>05/06, 08, 10$^e$</td>
<td>5.6</td>
<td>0.25 ± 0.02</td>
<td>3.6897 ± 0.0003</td>
<td>18.976 ± 0.005</td>
<td>(18.97) ± 0.02</td>
<td>2.1</td>
<td>349–23</td>
<td>-72 to -52</td>
<td>120–143$^f$</td>
<td>1.5</td>
<td>0.10 (05)</td>
<td>2.380 23.98</td>
</tr>
</tbody>
</table>

*p* P. Pravec et al. / Icarus 218 (2012) 125–143

**Binary system**

Apparitions

$D_1$ (km)

$D_2/D_1$

$P_1$ (h)

$P_2$ (h)

$a_{bin}/D_1$

$L_p$ ($\circ$)

$B_p$ ($\circ$)

$\epsilon$ ($\%$)

$\Delta t_{(c)}$

$\epsilon_{max}$

$a_0$ (AU)

$b_0$ ($\circ$)

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**Notes:**

*a* The best-fit value is 1.1, and the quality of the fit decreases with increasing $a_1/c_1$, so the lower values are preferred.

*b* Lower limit on $a_1/c_1$ is 1.4.

*c* The best-fit value is 1.3, and the quality of the fit decreases with increasing $a_1/c_1$, so the lower values are preferred.

*d* There are five discrete solutions, see text.

*e* Mutual events were observed in the first apparition only. In the two return apparitions, there did not occur mutual events with depth greater than 0.03 mag. We modeled the orbital lightcurve component from the first apparition only.

*f* Quality of the fit decreases with decreasing $\epsilon$, so the higher values are preferred.

*g* Model bulk density increases from 0.8 to 1.9 g cm$^{-3}$ with increasing $B_p$. The most plausible solution is for $B_p = +60^\circ$ and $\epsilon = 8^\circ$.

*h* The best-fit value is 1.2, and the quality of the fit decreases with increasing $a_1/c_1$, so the lower values are preferred.

*i* In the return apparition, there did not occur mutual events with depth greater than 0.02 mag. We modeled the orbital lightcurve component from the first apparition only. The lack of mutual events in the return apparition did not constrain the solution further; for all poles within the area derived from the first apparitions, there do not occur events for the geometry of the return apparition.

*j* For model bulk density >1.0 g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $>+64^\circ$ and $<+21^\circ$, respectively.

*k* The best-fit value is 1.0, and the quality of the fit decreases with increasing $a_1/c_1$, so the lower values are preferred.

*l* For model bulk density $>1.0$ g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $<-66^\circ$ and $>+159^\circ$, respectively.

*m* There are four discrete solutions in a range from 15.84 to 15.87 h.

*n* For model bulk density $>1.0$ g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $>+62^\circ$ and $<+24^\circ$, respectively.

*o* For model bulk density $>1.0$ g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $<-62^\circ$ and $>+156^\circ$, respectively.

*p* For model bulk density $>1.0$ g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $<-62^\circ$ and $>+153^\circ$, respectively.

*q* For model bulk density $>1.0$ g cm$^{-3}$ the values of $B_p$ and $\epsilon$ are constrained to be $>+62^\circ$ and $<+27^\circ$, respectively.

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**References:**

Warner et al. (2009b) and Warner et al. (2009c) estimated the binary system's mean light and an assumed geometric albedo based on family or orbital group membership. The rotation period of the primary ($P_1$); the orbit period ($P_{bin}$); the rotation period of the secondary ($P_2$); the relative size of the mutual orbit's semi-major axis ($a_{bin}/D_1$); the upper limit on the equatorial-to-polar axis ratio of the primary; the upper limit on eccentricity of the mutual orbit, estimated from the apparition specified in parentheses; the semi-major axis ($a_0$) and inclination ($b_0$) of the system's heliocentric orbit (epoch 2011 August 27.0 TJD).
Table 2

<table>
<thead>
<tr>
<th>Binary system</th>
<th>Date [UT]</th>
<th>L (°)</th>
<th>B (°)</th>
<th>L3 (°)</th>
<th>B3 (°)</th>
<th>EventDet</th>
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<tbody>
<tr>
<td>(1338) Duponta</td>
<td>2007-03-06.9</td>
<td>160.1</td>
<td>-2.5</td>
<td>162.7</td>
<td>-1.4</td>
<td>P</td>
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<tr>
<td>(1338) Duponta</td>
<td>2010-01-05.0</td>
<td>82.0</td>
<td>7.2</td>
<td>92.7</td>
<td>3.9</td>
<td>P</td>
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<tr>
<td>(1453) Fennia</td>
<td>2007-11-04.4</td>
<td>76.8</td>
<td>33.9</td>
<td>58.7</td>
<td>18.9</td>
<td>P</td>
</tr>
<tr>
<td>(1453) Fennia</td>
<td>2009-08-14.6</td>
<td>293.1</td>
<td>-39.5</td>
<td>309.3</td>
<td>-20.4</td>
<td>P</td>
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<tr>
<td>(1830) Pogson</td>
<td>2007-04-18.6</td>
<td>220.6</td>
<td>6.7</td>
<td>214.8</td>
<td>3.6</td>
<td>P</td>
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<tr>
<td>(1830) Pogson</td>
<td>2010-02-20.7</td>
<td>183.7</td>
<td>2.6</td>
<td>169.3</td>
<td>1.5</td>
<td>P</td>
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<tr>
<td>(2006) Polonskaya</td>
<td>2005-11-01.2</td>
<td>46.9</td>
<td>6.8</td>
<td>42.6</td>
<td>3.3</td>
<td>P</td>
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<td>(2006) Polonskaya</td>
<td>2008-06-05.3</td>
<td>294.3</td>
<td>-7.7</td>
<td>278.4</td>
<td>-4.9</td>
<td>N</td>
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<td>(2044) Wirt</td>
<td>2005-12-05.9</td>
<td>56.5</td>
<td>13.7</td>
<td>66.8</td>
<td>5.7</td>
<td>P</td>
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<td>(2044) Wirt</td>
<td>2008-08-24.3</td>
<td>316.7</td>
<td>-35.5</td>
<td>322.3</td>
<td>-24.0</td>
<td>N</td>
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<tr>
<td>(2577) Litva</td>
<td>2009-03-02.2</td>
<td>132.3</td>
<td>-28.2</td>
<td>149.4</td>
<td>-13.3</td>
<td>P</td>
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<td>(2577) Litva</td>
<td>2010-08-11.3</td>
<td>335.4</td>
<td>25.6</td>
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<td>P</td>
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<td>(2754) Efimov</td>
<td>2006-08-14.2</td>
<td>0.9</td>
<td>10.6</td>
<td>339.1</td>
<td>5.1</td>
<td>P</td>
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<tr>
<td>(2754) Efimov</td>
<td>2011-01-31.0</td>
<td>139.2</td>
<td>-6.2</td>
<td>135.8</td>
<td>-3.7</td>
<td>P</td>
</tr>
<tr>
<td>(3309) Brorflede</td>
<td>2005-10-25.2</td>
<td>21.1</td>
<td>-2.5</td>
<td>26.7</td>
<td>-1.2</td>
<td>P</td>
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The ecliptic coordinates are in the equinox of J2000. For definition of positive/negative (P/N) event detection, see text.

* We observed Efimov in the 2nd apparition of March 2008 when the asteroid was placed almost precisely (within a few degrees) diametrically opposite in its heliocentric orbit with respect to the discovery apparition. As such, the 2008 apparition's data would provide negligible constraints in the simulations presented in Section 4 and therefore we did not count the 2008 apparition as a fully-fledged return apparition for the purpose of the survey simulations. Instead, we took the 2011 apparition as the return apparition.
3.1.1. (1830) Pogson

We observed this system in three apparitions: from 2007-04-18.4 to 2007-06-06.6, from 2008-09-02.8 to 2008-11-06.8, and from 2010-02-20.6 to 2010-04-08.7. In all the three apparitions, the lightcurve data revealed two rotational components with superimposed mutual events. The two rotational components have periods of \((2.57003 \pm 0.00006)\ h\) and \((3.2626 \pm 0.0004)\ h\) (the uncertainties are \(1\sigma\)) with apparent amplitudes of 0.10–0.12 and 0.03 mag, respectively. Both rotational components are present at all orbital phases including mutual events, with unchanged shape in the event. The fact that the second rotational component does not disappear in mutual events indicates that it is not a rotation of the secondary. We consider that it may rather belong to a third body in the system.\(^2\) This proposed explanation will have to be confirmed and a size and distance of the third body will have to be estimated with future observations.

A solution for the pole and period of the mutual orbit given in Table 1 and shown in Fig. 6 was obtained by fitting our model to the data for the orbital lightcurve component, derived with subtracting both rotational components, from all the three apparitions simultaneously. We analysed an effect of possible presence of the third body on our modeling and estimated parameters. The size ratio \(D_2/D_1 = 0.30 \pm 0.02\) that was estimated from the depth of the secondary mutual event becomes a lower limit if there is a third body contributing to the total light of the system. Thus, in addition to running our orbit modeling with the size ratio estimate of 0.30 that corresponds to a zero or negligible size of the third body, we run the model also for a few cases with the third body having a diameter in the range from zero up to \(D_1\). We found that the presence of the third body had a negligible effect on the estimated orbit period, but it affected the estimated orbit pole area. The admissible area of the pole shrinks by up to a factor of three with the third body’s diameter increasing up to the diameter of the primary (see Fig. 6).

\(^2\) An alternative explanation of that the apparent second rotational period could be due to an excited rotation of the primary is not supported as the data do not show a significant signal at linear combinations of the two observed frequencies; the two rotational components appear purely additive (cf. data for tumbling asteroids in Pravec et al. (2005)).

3.1.2. (2006) Polonskaya

We observed this system in three apparitions: from 2005-11-01.0 to 2005-12-07.1, from 2008-06-04.3 to 06.4, and from 2010-01-10.1 to 2010-02-22.3. Mutual events were observed in the first and the third apparition only. In the second apparition, we covered 61% of the orbit and there did not occur mutual events with depth greater than 0.02 mag.

In all the three apparitions, the lightcurve data revealed two rotational components (with superimposed mutual events in the first and the third apparition). The two rotational components have
periods of \((3.1180 \pm 0.00007)\) h and \((6.6593 \pm 0.0004)\) h (the uncertainties are \(1\sigma\)) with apparent amplitudes of 0.08–0.10 and 0.07–0.10 mag, respectively. Like in the case of (1830) Pogson described above, both rotational components of Polonskaya were present at all orbital phases including mutual events with unchanged shapes in the events, suggesting that it is not a rotation of the secondary but that it may rather belong to a third body in the system. Like for Pogson, this will have to be confirmed with future observations.

Combining data from the first and the last apparitions, we found five solutions for the period and two solutions for the pole of the mutual orbit, fitting our model to the data for the orbital lightcurve component, derived with subtracting both rotational components. The estimated periods are \(P_{\text{orb}} = 19.1407\) h, 19.1507 h, 19.1553 h, 19.1607 h and 19.1654 h, with 3-\(\sigma\) errors 0.0001–0.0002 h. Limiting values of the ecliptic latitudes for the admissible areas of the orbit pole are \(B_p > +54^\circ\) and \(<60^\circ\) (for model bulk density \(>1.0\) g cm\(^{-3}\) the values of \(B_p\) are constrained to be \(>71^\circ\) and \(<72^\circ\)).

An attempt to join in the data from the 2008 apparition where there occurred no event deeper than 0.02 mag failed; for all the solutions from the 2005 + 2010 data, there were predicted observable events to occur during the times of the 2008 observations. This leads us to consider that some assumption of the model might not hold. In particular, it is possible that the mutual orbit plane of the components was not constant and that it precessed. If so, then the joint solution of the 2005 and 2010 observations may be spurious.

3.1.3. (2577) Litva

We observed this system in two apparitions: from 2009-02-28.1 to 2009-04-01.9, and from 2010-07-16.2 to 2010-08-31.3. In both apparitions, the lightcurve data revealed two rotational components with superimposed mutual events. In the 2010 apparition, the two rotational components had periods of 2.8129 h and 5.6818 h with predominating uncertainties due to the synodic-sidereal effect that were estimated to be about 0.0001 h and 0.0004 h, respectively. In the 2009 apparition when there was a larger synodic-sidereal effect (about 0.0003 h and 0.002 h, respectively), the two periods were 2.8126 h and 5.684 h. Apparent amplitudes of the two rotational components were 0.17 and 0.06 mag at solar phases 11–22\(^\circ\) in 2010, while they were somewhat greater, 0.24 and 0.09 mag at higher solar phases of 22–30\(^\circ\) in the 2009 apparition. Inspecting the behavior of the rotational components in the 2009 data where the mutual events were covered thoroughly, we found both components were present at all orbital phases including mutual events, with apparently unchanged shapes in the events. The fact that the second rotational component does not disappear in mutual events indicates that it is not a rotation of the secondary. Like in the similar cases of (1830) Pogson
and (2006) Polonskaya, we consider that the second rotational component may rather belong to a third body in the system.

A solution for the pole and period of the mutual orbit given in Table 1 and shown in Fig. 10 was obtained by fitting our model to the data for the orbital lightcurve component, derived with subtracting both rotational components, from both apparitions simultaneously. We analysed the effect of possible presence of the third body on our modeling and estimated parameters. From the depth of the mutual events observed in 2009, we estimated the size ratio $D_2/D_1 = 0.34 \pm 0.02$. Analogously with the case of (1830) Pogson, in addition to running our orbit modeling with the size ratio estimate of 0.34 that corresponds to a zero or negligible size of the third body, we run the model also for a few cases with the third body having a diameter in the range from zero up to $D_1$. We found that the presence of the third body had a negligible effect on the estimated orbit period and only a small effect on the estimated orbit pole area; the admissible area of the pole shrinks by ~20% with the third body’s diameter increasing up to the diameter of the primary (see Fig. 10).

4. Simulations of the survey

The rate of 15 positive re-detections of the 18 binaries in their return apparitions is strikingly high. We simulated the survey, tested the null hypothesis of isotropic distribution of binary orbit poles and found that it is rejected at a high confidence level. We found that poles of mutual orbits of small binaries concentrate at high ecliptic latitudes around the poles of the ecliptic. We present results of the simulations in this section.

The model of the binary survey is analogous to that we used for simulations of our survey for near-Earth asteroid binaries in Pravec et al. (2006), except that in the present work we allowed for non-isotropic orbit pole distribution. We used the following assumptions and approximations:

- Uniform distribution of orbit poles in $L_p$ and in (a) $|\sin B_p| = \sin B_x$ to 1 or (b) $\cos \epsilon_p = \cos \epsilon_x$ to 1, where $B_x$ and $\epsilon_x$ is a lower and upper limit cutoff of the distribution in ecliptic latitude and obliquity, respectively. For $B_x = 0$ and $\epsilon_x = 90^\circ$, it is the isotropic distribution.
- Zero eccentricity of the mutual orbit.
- Spherical shape of both components.
- Same albedos for both components.
- Lommel–Seeliger scattering law for the distribution of apparent surface brightness over the disc (see Kaasalainen et al., 2002).
- Bulk density of $2.0 \text{ g cm}^{-3}$. The same bulk density is assumed for both components, i.e., the mass ratio is estimated as $(D_2/D_1)^3$.
- The probability of resolving mutual event is approximated with the step function given by Eq. (9): $p_{\text{res}} = 0$ and 1 for the relative brightness attenuation depth $F < 4\%$ and $\geq 4\%$ of total light, respectively.

Except for the assumed distribution of binary orbit poles, which is actually the property that we were testing in this work, the assumptions and approximations given above are supported by the observational data for the binaries, or plausible ranges of deviation from them could not have significant effects in the simulations. Only one of the assumptions, namely that of the bulk density of $2.0 \text{ g cm}^{-3}$, is not well constrained and it could produce a small but possibly not entirely negligible systematic effect if not held; if the binaries had systematically lower or higher bulk densities than the assumed value, then detection rates resulting from the simulations would be under- or overestimated, respectively. A qualitative analysis of their effect on our simulations suggests that systematically lower bulk densities would not affect our conclusions, and only...
if the asteroid binaries had systematically much higher bulk densities we would have to analyse their effects to our simulations closely. Such much higher bulk densities appear unlikely, and we stay with the assumed value that is close to the best estimate of a binary asteroid bulk density of $1.97 \pm 0.24$ g cm$^{-3}$ for 1999 KW4 (Ostro et al., 2006).

As given in the first item above, we run the simulations for two variant distributions of binary orbit poles: (a) orbit poles concentrated towards the poles of the ecliptic and (b) orbit poles concentrated towards the poles of current osculating heliocentric orbits of binary systems. The case (a) may be more relevant, for following reasons: While being spun up by the YORP effect, the asteroid’s pole moves towards the Cassini state 2 or 3, which both shift towards the poles of the ecliptic with the precession constant decreasing with increasing spin frequency (see, e.g., Eq. (17) in Colombo (1966)). For non-zero inclination of the heliocentric orbit ($i_h$), the asteroid’s pole oscillates around the obliquity equal to $i_h$ or $(180^\circ - i_h)$, though YORP alone would work towards more extreme obliquity values. See Section 5 for details and further discussion.

In each simulation, we randomly generated 30,000 orbit poles with a chosen distribution in $|\sin B_p|$ or $|\cos B_p|$. For each pole and each of the 18 binaries, we computed whether there occurred mutual events (with relative attenuation depth $>-4\%$) for the first, discovery apparition epoch. If there occurred an observable mutual event at the first apparition epoch, which is a requirement for
binary detection with our technique, then this case represents a positive detection of the binary in the first apparition. For the positive detection, we then computed whether there occurred an observable event also at the second, return apparition epoch. A resulted rate of occurrence of positive re-detections in the return apparition for each of the 18 binaries was recorded. Results of the simulations for the assumed isotropic distribution of binary orbit poles (the null hypothesis) and for one of the tested anisotropic distributions, namely the uniform distribution in $|\cos \epsilon|$ from sin $60^\circ$ to 1, are shown in Table 3. There, $n_{1stapp}$ is a number of positive detections of the binary for the 30000 random orbit pole generations, $n_{2ndapp}$ is a number of positive re-detections of the $n_{1stapp}$ binaries detected in the first apparition, and the probability of a positive re-detection is given in the next column. The median probability of positive re-detection for the 18 binaries is $0.30$ for the isotropic distribution.

Here we assume that the probability $p_{cov}$ of covering the mutual event with observations in the discovery apparition is independent of the orbit pole orientation. In fact it is not exactly so, as for non-central events, the event duration is shorter than for central ones, thus there may be a slight dependence of $p_{cov}$ on orbit pole position. We neglect this minor effect in our simulations.

For most of the binaries, the computed probability of a positive re-detection in the return apparition was in a range from 0.17 to 0.41 for the assumed isotropic distribution of binary orbit poles. Two of them, (2577) Litva and (5477) Holmes had, however, a higher probability of positive re-detection ($0.74$ and $0.80$). This was because the return apparitions of the two binaries happened to be placed approximately diametrically opposite in their heliocentric orbits with respect to the discovery apparition, resulting in the enhanced probability of re-detection.
A correspondence of the results from the numerical model with the analytical theory for the special case presented in Section 2 is illustrated in Fig. 22 where we plot relative frequencies of the initial positive detections (the data in the $n_{1\text{st app}}$ column in Table 3) for the assumed isotropic distribution, cf. with Fig. 1. Note that the numerical model accounts also for non-zero solar phase of the observations as well as for the $p_{\text{res}}$ function, these effects modify the $p_{\text{nee}}$ function.

After completing the simulation with the 30,000 random generations for a given test distribution of orbit poles, we then used the resulted probabilities of positive re-detections and computed a probability density of getting $N_{2\text{nd app}}$ positive re-detections of the 18 studied binaries. The probability density was computed by random generating positive/negative detections for the 18 binaries with the estimated individual probabilities ($n_{2\text{nd app}}/n_{1\text{st app}}$), repeated 10000 times. Relative frequencies of getting $N_{2\text{nd app}}$ positive re-detections of the 18 cases in the 10,000 random generations were plotted in a histogram and the resulted probability density of positive re-detections was then compared to the observed number of 15 of the 18 binaries actually showing mutual events in their return apparitions.

The null hypothesis of the isotropic distribution of binary orbit poles ($B_x = 0, c_x = 90^\circ$) is rejected at a high confidence level. The simulation gave that an expected number of positive re-detections was $6 \pm 3$ (the 95% probability interval) and a probability of getting 15 positive re-detections among the 18 studied binaries was $<10^{-4}$ (see Fig. 23).

The high observed number of positive re-detections indicates that there is a lack of binary orbit poles at low ecliptic latitudes (at obliquities around 90°) and that they concentrate at high ecliptic
Table 3
Results of the simulations of the binary survey for two assumed distributions of orbit poles: the isotropic distribution (columns 4–6) and the uniform distribution in $\cos \epsilon$ from $60^\circ$ to 1 (columns 7–9).

<table>
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<th>Binary system</th>
<th>$\sin i$</th>
<th>$\alpha$ ($^\circ$)</th>
<th>Isotropic</th>
<th>$n_{\text{1stapp}}$</th>
<th>$n_{\text{2ndapp}}$</th>
<th>$\text{Prob.} \left(\frac{n_{\text{1stapp}}}{n_{\text{2ndapp}}}\right)$</th>
<th>$\epsilon = 30^\circ$</th>
<th>$n_{\text{1stapp}}$</th>
<th>$n_{\text{2ndapp}}$</th>
<th>$\text{Prob.} \left(\frac{n_{\text{1stapp}}}{n_{\text{2ndapp}}}\right)$</th>
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<td></td>
</tr>
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Notes: In columns 2 and 3, the values of $\sin i$, and the solar phase of the first positive event observation are listed. A correlation of $n_{\text{1stapp}}$ for the isotropic distribution with both $\sin i$, and the solar phase is apparent; as given in Section 2, the mean probability of the event detection is equal to $\sin i$ (Eq. (7)) at zero solar phase, increased at higher solar phases, and further modified by the event resolving probability function $p_{\text{res}}$ (not illustrated here).

Fig. 22. Relative frequency of the initial positive detections from the survey simulation with the 30,000 random pole generations for the 18 binaries for the assumed isotropic distribution of orbit poles versus the sine of pole ecliptic latitude.

5. Interpretation and discussion

Binary systems among small asteroids (primary diameters $D_1 \leq 10$ km) appear to form from parent bodies spinning at a critical rate by some sort of fission or mass shedding process (Scheeres, 2007; Pravec and Harris, 2007; Walsh et al., 2008; Jacobson and Scheeres, 2011). A mechanism to spin the parent asteroid up to its critical rotation frequency is provided by the Yarkovsky–O’Keefe–Radzievskii–Paddack (YORP) effect (e.g., Bottke et al., 2006). While spinning it up, the YORP effect also changes the asteroid’s spin orientation toward a YORP end state (see, e.g., Cˇapek and Vokrouhlický, 2004). Thus, by reaching the critical spin frequency the parent bodies may get an anisotropic distribution of spin orientations with poles concentrating near the YORP asymptotic states. This is supported by analysis of distribution of pole orientation for single asteroids in the main belt with sizes $\leq 30$ km, appropriate for parent bodies of our binary systems (e.g., Hanuš et al., 2011). After the formation of a binary, the primary component may experience a further evolution by YORP, again toward a YORP endstate. Additionally, life of a binary asteroid may be troubled by instability due to resonance effects, which can lead to changes in the spin-orbit alignment and possibly to the breakup of the system.
to perturbations from mutual gravitational effects\textsuperscript{5} and those from the solar tidal field.

We consider three hypotheses for origin of the anisotropic distribution of binary orbit poles:

\textsuperscript{5} This applies for very compact systems. Main-belt binaries analysed in this paper have components distant enough that their mutual gravitational effects should not be able to produce major instability.

(1) The preferentially up/down-right orientation of binary orbit poles is set up upon their formation, i.e., it reflects orientations of spin vectors of their parent bodies with poles evolved toward the YORP asymptotic states near 0° and 180°.

(2) Binaries formed with a broader distribution of orbit poles but later they were YORP-tilted towards the YORP asymptotic states on a long time-scale.

(3) Binaries with poles close to the ecliptic plane were eliminated due to instability triggered by some dynamical process(es).

In Section 5.1 we examine a short-term dynamical evolution of binary asteroids using a simple numerical model, showing that it does not support the hypothesis (3) above. In Section 5.2 we then briefly discuss the hypotheses (1) and (2), but a thorough model of...
the long-term evolution of the binary systems is left for a future work.

5.1. Short-term dynamical evolution of binary systems in our sample

5.1.1. General considerations

At the first sight, the reported situation is reminiscent of irregular satellites of giant planets, whose inclination relative to the ecliptic plane also avoids values around the polar orbit. In that case, the solar-tide perturbation has been found to drive large oscillations of the satellite eccentricity and inclination in the non-populated inclination region, the process generally known as the Kozai dynamics (e.g. Kozai, 1962; Carruba et al., 2002; Nesvorný et al., 2003). Eventually, the pericenter distance would have been too small and impacts on regular satellites of the planet would occur.

Here, however, the situation is different and the observed satellites in the binary systems are analogs of the regular, rather than irregular, satellites of giant planets. This is because of their close proximity to the primary. Assuming reasonable flattening of the primary oblateness effect can be estimated by $\frac{5}{2} \Omega + 270^\circ$ (right panel); $\Omega$ is the longitude of ascending node of the heliocentric orbit. Additionally, the evolution shown by the thick curve on the left panel corresponds to the initial pole position $(L_p, B_p) = (305^\circ, -85^\circ)$ very close to the osculating pole of the heliocentric orbit and near the center of the uncertainty region of the solution (Fig. 16). For any initial latitude value the evolution is very stable, showing only very small oscillations driven by solar torque on the system and small heliocentric orbit inclination with respect to the ecliptic. This is because the effective precession constant of the system, see Eq. (11) below, is $\sim 20$ arcsec/yr, well separated from both $s$ and $s_5$ terms in $E$.

**High-inclination, Hungaria binary: (1453) Fenna** Next, we consider the case of Hungaria-type binary (1453) Fenna, residing on high-inclination heliocentric orbit. In this case the spectrum of $\zeta$ is dominated by the proper term with frequency $f = 20.4$ arcsec/yr and proper inclination of $\sim 24.4^\circ$; but there are more planetary terms with similar frequencies. Of particular interest may be the $s_4 \sim -17.8$ arcsec/yr with forced inclination $\sim 0.4^\circ$ and the $s_5 \sim -26.3$ arcsec/yr with forced inclination $\sim 0.2^\circ$.

**Low-inclination, main belt binary: (4029) Bridges** First, we choose the case of (4029) Bridges residing on low-inclination, main belt heliocentric orbit. Because it is located outside the 3/1 mean motion resonance with Jupiter, the proper frequency of nodal precession of its heliocentric orbit is rather large, $s \sim 51.8$ arcsec/yr, while the proper inclination is only moderate $\sim 5.9^\circ$. Its contribution is well separated from the forced term at $s_5 \sim -26.3$ arcsec/yr frequency and only $\sim 1^\circ$ amplitude in the Fourier spectrum of the non-singular inclination vector $\zeta = q + f = \sin(2 \exp(i\Omega))$ of the heliocentric orbit.

Fig. 28 shows a sample of binary orbit pole evolutions for various initial latitudes and longitudes equal to $\Omega + 90^\circ$ (left panel) and $\Omega + 270^\circ$ (right panel); $\Omega$ is the longitude of ascending node of the heliocentric orbit. Additionally, the evolution shown by the thick curve on the left panel corresponds to the initial pole position $(L_p, B_p) = (305^\circ, -85^\circ)$ very close to the osculating pole of the heliocentric orbit and near the center of the uncertainty region of the solution (Fig. 16). For any initial latitude value the evolution is very stable, showing only very small oscillations driven by solar torque on the system and small heliocentric orbit inclination with respect to the ecliptic. This is because the effective precession constant of the system, see Eq. (11) below, is $\sim 20$ arcsec/yr, well separated from both $s$ and $s_5$ terms in $E$.

5.1.2. Simple numerical model

In order to verify the picture outlined in the previous paragraphs, we constructed a simple numerical model that tracks orbital evolution of the satellite and the spin of the primary. The assumptions make the model valid only over a short timespan of $\sim 1$ My, but it still provides a basic tool to verify binary orbital pole stability at low ecliptic latitudes; note that the Kozai instability timescale is much shorter, several thousands of years only. Formulation and basic features of the model are given in Appendix A. In what follows we provide three different examples of a short-term orbital evolution for binaries from our observed sample.

**Low-inclination, main belt binary: (4029) Bridges** First, we choose the case of (4029) Bridges residing on low-inclination, main belt heliocentric orbit. Because it is located outside the 3/1 mean motion resonance with Jupiter, the proper frequency of nodal precession of its heliocentric orbit is rather large, $s \sim 51.8$ arcsec/yr, while the proper inclination is only moderate $\sim 5.9^\circ$. Its contribution is well separated from the forced term at $s_5 \sim -26.3$ arcsec/yr frequency and only $\sim 1^\circ$ amplitude in the Fourier spectrum of the non-singular inclination vector $\zeta = q + f = \sin(2 \exp(i\Omega))$ of the heliocentric orbit.

Fig. 28 shows a sample of binary orbit pole evolutions for various initial latitudes and longitudes equal to $\Omega + 90^\circ$ (left panel) and $\Omega + 270^\circ$ (right panel); $\Omega$ is the longitude of ascending node of the heliocentric orbit. Additionally, the evolution shown by the thick curve on the left panel corresponds to the initial pole position $(L_p, B_p) = (305^\circ, -85^\circ)$ very close to the osculating pole of the heliocentric orbit and near the center of the uncertainty region of the solution (Fig. 16). For any initial latitude value the evolution is very stable, showing only very small oscillations driven by solar torque on the system and small heliocentric orbit inclination with respect to the ecliptic. This is because the effective precession constant of the system, see Eq. (11) below, is $\sim 20$ arcsec/yr, well separated from both $s$ and $s_5$ terms in $E$.

**High-inclination, Hungaria binary: (1453) Fenna** Next, we consider the case of Hungaria-type binary (1453) Fenna, residing on high-inclination heliocentric orbit. In this case the spectrum of $\zeta$ is dominated by the proper term with frequency $f = 20.4$ arcsec/yr and proper inclination of $\sim 24.4^\circ$; but there are more planetary terms with similar frequencies. Of particular interest may be the $s_4 \sim -17.8$ arcsec/yr with forced inclination $\sim 0.4^\circ$ and the $s_5 \sim -26.3$ arcsec/yr with forced inclination $\sim 0.2^\circ$.

**Low-inclination, main belt binary: (4029) Bridges** First, we choose the case of (4029) Bridges residing on low-inclination, main belt heliocentric orbit. Because it is located outside the 3/1 mean motion resonance with Jupiter, the proper frequency of nodal precession of its heliocentric orbit is rather large, $s \sim 51.8$ arcsec/yr, while the proper inclination is only moderate $\sim 5.9^\circ$. Its contribution is well separated from the forced term at $s_5 \sim -26.3$ arcsec/yr frequency and only $\sim 1^\circ$ amplitude in the Fourier spectrum of the non-singular inclination vector $\zeta = q + f = \sin(2 \exp(i\Omega))$ of the heliocentric orbit.
For a single asteroid, let us say the primary in the pair with \( \alpha = \alpha_{\text{prim}} \), rotating about the principal axis of the inertia tensor we have

\[
\alpha_{\text{prim}} = \frac{3}{2} n^2 \frac{C - A}{\omega C},
\]

where \( n \) is the mean motion of the heliocentric revolution, \( \omega \) is the angular rotation frequency, \( C \) and \( A \) are the principal moments of the inertia tensor about the polar and equatorial axes (e.g., Bertotti et al., 2003). However, the presence of the satellite modifies the situation. The gravitational torque due to the Sun now acts both on the primary and the satellite orbit. The precession constant of the whole binary system \( \alpha_{\text{eff}} \) thus reads (e.g., Ward, 1975; French et al., 1993)

\[
\alpha_{\text{eff}} = \frac{3}{2} n^2 \left[ J_2 + \frac{q}{\omega} \right] + T,
\]

where \( J_2 = (C - A)/m_R^2 \), \( \lambda = C/m_R^2 \), \( q = m_2 a_{\text{sat}}^2/(2m_1 R^2) \), and \( l = m_1 a_{\text{sat}}^2 R_0/(m_R^2 \omega) \). In this equation, \( m_1 \) is the mass of the primary, \( R_1 \) is its effective radius, \( m_2 \) is the mass of the satellite, \( a_{\text{sat}} \) is the semimajor axis of the satellite orbit, and \( n_0 \) is the mean motion of the binary components revolution about their center of mass; see Appendix A for more details). Here, respectively, \( q \) is the effective contribution of the satellite orbit to the dynamical flattening measured by the quadrupole coefficient \( J_2 \) and \( l \) is the orbital angular momentum of the satellite relative to the rotational angular momentum of the primary. We note that \( l \) is typically a small contribution to \( \lambda \) in the denominator of Eq. (11), meaning most of the angular momentum is in the rotation of the primary. On the contrary, unless very large oblateness of the primary, \( q \) dominates contribution of \( J_2 \) in the numerator of Eq. (11). In conclusion, the precession constant of the binary system is larger than that of solitary primary as a result of the satellite presence. For (1453) Fenna, for instance, we would have \( \alpha_{\text{eff}} \approx 14.9 \) arcsec/yr for the primary only (assuming oblateness \( \gamma = C/A = 0.89 \), cf. Appendix A), but the true value according to Eq. (11) with data in Table 1 is \( \alpha_{\text{eff}} \approx 85 \) arcsec/yr. This is a much larger frequency, which has subtle implications. For instance, the primary’s precession constant would imply only two proper-frequency Cassini states at high latitudes. However, the true system with larger \( \alpha_{\text{eff}} \) value has four proper-frequency Cassini states, with the Cassini state 2 at only \( \sim 36^\circ \) latitude (Fig. 29, left panel). Also the newly bifurcated Cassini state 1 is at \( \sim 58^\circ \) latitude.

\[\text{Fig. 28.} A\text{ sample of evolutionary tracks of the ecliptic latitude (ordinate) of the orbital pole for (4029) Bridges determined by our numerical model; the abscissa is time in ky. Initial ecliptic latitudes } B_a \text{ were } 0^\circ, \pm 20^\circ, \pm 40^\circ, \pm 60^\circ \text{ and } \pm 80^\circ. \text{ Results on the left panel had initial orbit pole with ecliptic longitude } L_a = \Omega + 90^\circ \text{, while those on the right panel had } L_a = \Omega + 270^\circ, \text{ where } \Omega \text{ is the longitude of ascending node of the binary's heliocentric orbit (cf. Appendix A). The gray dashed lines on the left panel show ecliptic latitudes of the Cassini states 2 (C2) and 3 (C3). The thick curve on the left panel shows a possible evolution of Bridges’ pole with initial data } (L_a, B_a) = (305^\circ, -85^\circ) \text{ very close to the osculating pole of the heliocentric orbit and near the center of the uncertainty region of the solution (Table 1 and Fig. 16). All orbits are stable, independently of the latitude value, with only small oscillations due to small value of the heliocentric orbit inclination to the ecliptic.}
\]

\[\text{Fig. 29.} \text{ The same as in Fig. 28, but for the Hungary-class binary (1453) Fennia. The gray dashed lines now show ecliptic latitude of the Cassini states 1 (C1), 2 (C2) and 3 (C3). The thick curve shows a possible evolution of the orbit pole for this binary for initial position } (L_a, B_a) = (95^\circ, -66^\circ) \text{ very close to the osculating pole of the heliocentric orbit and near the center of the uncertainty region of the solution (Table 1 and Fig. 4).}
\]

\* Detailed discussion of the Colombo top model and Cassini states can be found in Colombo (1966), Henrard and Murgia (1987) or Vokrouhlický et al., 2006.
and longitude offset by 270° from the longitude of ascending node of Fennia's heliocentric orbit (Fig. 29, right panel). Orbit pole evolution may oscillate about these states with large amplitude. The solutions for initially retrograde poles show a much more regular evolution with the amplitude of latitude oscillations basically given by the inclination of the binary's heliocentric orbit.

**High-inclination, main belt binary: (2044) Wirt.** Finally, we consider the case of (2044) Wirt, residing on high-inclination orbit with semimajor axis value in the inner part of the main belt. In fact, with its mean perihelion at ≈1.65 AU only (and osculating value reaching down to ≈1.42 AU), this asteroid is on an escaping route to the planet crossing zone. The spectrum of ζ is dominated by the proper-frequency term with ω ≈ −43.3 arcsec/yr and about −23.5° proper inclination amplitude, but it also contains a large number of contributions from the forced planetary frequencies and their linear combinations with s (all having amplitudes of ≈2.2° and smaller).

![Fig. 30](image-url) The same as in Fig. 28, but for the Phocaea-class binary (2044) Wirt. The gray dashed lines now show ecliptic latitude of the Cassini states 2 (C2) and 3 (C3). The thick curves show a possible evolution of the two solutions of the orbit pole for this binary (see Table 1 and Fig. 8): initial pole position (Lp, Bp) = (180°, 67°) on the left panel and (Lp, Bp) = (0°, −65°) on the right panel.

We conclude that while the examples of Hungaria-type binary, (1453) Fennia, and Phocaea-type binary, (2044) Wirt, above show that latitude of the orbit pole may have non-trivial evolution, they do not provide evidence for larger stability at high latitudes versus low latitudes. On the contrary: if we were to run orbit pole evolutions for denser and initially isotropic distribution, we would obtain a homogeneous occupation of any latitude (in cos Bp measure) over a time. This experiment has been performed by Vokrouhlický et al. (2006) for single asteroids, but as we proved that the compact binaries effectively behave like single objects with only modified precession constant, it applies also here. We thus conclude, that for the observed parameters of the binary systems, their dynamics is stable over a My timescale even for very small ecliptic latitudes of the orbital pole. Assuming an initially isotropic distribution of poles, it should remain an isotropic distribution at any moment of time.

While the pole stability at all latitudes is true population-wise, we return to the issue of possibly complicated latitude tracks of individual objects with very large oscillations in the prograde zone. This especially applies to binaries which have large inclination value of their heliocentric orbit with respect to the ecliptic; notable examples are Hungary and Phocaea groups (see Vokrouhlický et al., 2006). In these cases, the latitude value of the current orbit pole position of the binary may not directly reflect its initial value. Only a more detailed information about the system, such as a constraint on the polar flattening of the primary, would provide more insight in the possible evolutionary tracks of the pole.

### 5.2. Long-term dynamical evolution: hints and guesses

Our numerical model provides an information about the observed binary systems over a timescale which might be only a snapshot in their lifetime. While, the observed (tidal) synchronization of the satellite’s rotation implies ages longer than ≈(1–10) My (e.g., Taylor and Margot, 2010, 2011), we have only a loose handle of the upper age limit. The collisional lifetime of the km-size satellites suggests that most of the binary systems in our sample are not older than ≈(200–500) My (e.g., Bottke et al., 2005) and a similar, or longer, timescale is obtained by non-synchronization of the rotation rate of the primary. This is a long time, over which weak torques like the YORP effect might act on the systems. For instance, distribution of the spin orientations of single asteroids is skewed toward the ecliptic poles in a good agreement with a steady-state model with the YORP effect (e.g., Hanuš et al., 2011). In the same way, YORP acting on the primary component should slowly tilt...
the system toward the asymptotic YORP states, presumably at large ecliptic latitudes. Using data in Čapek and Vokrouhlický (2004) we find that near-critically rotating 8 km asteroid in the inner part of the main belt should tilt its pole position by ~10° per 100 Myr on average. Because of the ~1/D² scaling of the YORP strength, a smaller body of ~4 km size would have an average polar tilt of ~40° per 100 Myr. Thus, if binary systems are typically old (ages ~100 Myr), their poles might have been further evolved towards the YORP asymptotic states during their lifetime.

However, if the binary systems were systematically younger than inferred above from tidal evolution timescales, for instance because of their fast evolution due to the BYORP effect (e.g., Čuk and Burns, 2004; Čuk and Nesvorný, 2010; McMahon and Scheeres, 2010), the weak YORP torques on the primary would not have enough time to tilt the system orbital plane. In that case, the concentration of binary orbit poles on high ecliptic latitudes would reflect primarily their preferential formation at these states (the hypothesis 1 above). As mentioned in the first paragraph of this section, during the YORP spin-up of parent bodies to the critical fission frequency, their spin orientations should be substantially YORP-tilted toward the YORP asymptotic states.

At this moment, the observations cannot discriminate between the two possibilities. More data and detailed theoretical understanding of the long-term binary evolution processes are needed to resolve this interesting issue.

Acknowledgments

The work at Ondřejov and the Charles University Prague was supported by the Grant Agency of the Czech Republic, Grants 205/05/0604 and 205/09/1107, and by the Research Programs MSM0021620860 and MEB0810085 of the Czech Ministry of Education. A.W.H. was supported by NASA Grant NNX09AB48G and by National Science Foundation Grant AST-0907650. Observations at the Carlsbad Hill Observatory and the Hunters Hill Observatory were supported by a Gene Shoemaker NEO Grant from the Planetary Society. The work at Modra Observatory was supported by the Slovak Grant Agency for Science VEGA, Grants 2/0016/09 and 1/0636/09. Support for the Slovak Grant Agency for Science VEGA, Grant 2/0022/10. Support for PROMPT has been provided by the National Science Foundation under Awards CAREER-0449001, AAG-0707634, and MRI-0836187. O.V., P.L.-P. and A.T. would like to thank the staff of Cerro Tololo and Las Campanas Observatories in Chile, the Chilean Time Allocation Committee and the Instituto de Astronomía de the Universidad Catolica del Norte for continuous support for the observing runs in Chile. Funding for observations at the Palmer Divide Observatory was provided by NASA Grant NNX10AL35G, by National Science Foundation Grant AST-1032896, and by a 2007 Gene Shoemaker NEO Grant from the Planetary Society. F.M. was supported by the National Science Foundation under Award Number AAG-0807468.

Appendix A. Numerical model of binary’s short-term evolution

In order to verify our conclusions in Section 5 we constructed a very simple numerical model to track orbital evolution of the binary system coupled with the spin evolution of the primary. The major simplifying assumptions are (i) a point-mass representation of the secondary component (the satellite) and (ii) an axisymmetric representation of the primary component of the binary system. Masses of the primary and secondary components are denoted m₁ and m₂; we also define dimensionless factors \( \Lambda_{10} = m_1/(m_1 + m_2) \) and \( \Lambda_{20} = m_2/(m_1 + m_2) \), which correspond to their respective contributions to the total mass of the binary system.\(^9\) The primary is assumed to be an oblate spheroid\(^10\) with the equatorial and polar axes denoted by \( a_1 \) and \( c_1 \), such that \( c_1/a_1 \leq 1 \). Denoting \( R_1 = a_1/\gamma^{1/3} \) the characteristic radius of the primary, defined as a radius of a sphere with the same volume, and using \( R_1 \) as the scaling parameter of the representation of primary’s gravitational field, we have \( J_2 = 0.2(1 - \gamma^2)\gamma^{-2/3} \) for its quadrupole parameter. We could also determine similar formulas for higher-degree zonal coefficients, but we shall not need them. Description of the evolution of the primary’s spin axis also requires \( (C - A)/(C \approx 0.5)(1 - \gamma^2) \), where \( C \) and \( A \) are polar and equatorial moments of inertia. Photometric observations of the primary components in binaries, including those in this paper, all suggest a small amplitude of the lightcurve from which we may estimate the ratio of the equatorial axis is unity within ~10–20% accuracy. Radar observations also support this conclusion and additionally suggest only modest polar flattening with \( \gamma = 0.8–0.9 \) (e.g., Ostro et al., 2006; Shepard et al., 2006; Taylor et al., 2008; Benner et al., 2010). The mutual gravitational interaction of the primary and secondary components is represented in our model to the quadrupole level of the primary’s zonal field; while we could have taken higher-degree zonal terms into account, they would not bring new qualitative features in our analysis.

On the contrary, we need to take into account effects of the solar gravity for the binary dynamics. We use description in Jacobi coordinates, where \( \mathbf{r} \) denotes relative position vector of the secondary with respect to the primary and \( \mathbf{R} \) denotes relative position vector of the Sun with respect to the center of mass of the binary. Since our prime concern is the evolution of \( \mathbf{r} \), and the relative velocity \( d\mathbf{r}/dt \), we represent \( \mathbf{R} \) with a simple elliptic orbit. Because the secular evolution of the binary orbit plane may be coupled to the corresponding secular evolution of the heliocentric orbit plane of the binary’s center-of-mass motion, we only pay attention to represent the inclination \( i \) and longitude of ascending node \( \Omega \) evolutionary effects in \( \mathbf{R} \) accurately enough. In particular, we use a Fourier representation of the non-singular inclination vector \( \zeta = q + \psi = \sin i/2\exp(\Omega i) \), in which we retain the two dominant terms: the proper term with frequency \( s \) and the forced terms with frequency \( s_0 \) (see, e.g., Vokrouhlický et al., 2006). In the case of binaries on high-inclination heliocentric orbits, such as those in the Hungaria or Phocaea groups, it is necessary to include also additional forced terms due to the terrestrial planets such as \( s_3 \) and \( s_4 \), because they are more important than the \( s_0 \) term and their frequencies are close to \( s \) (e.g., Milani et al., 2010). All these harmonic terms were obtained by numerically integrating the heliocentric orbit of the binary over 10 Myr time interval, and Fourier analysing of the osculating \( \dot{\zeta} = q + \psi \) values.

With these assumptions, the relative vector \( \mathbf{r} \) satisfies

\[
\frac{d^2 \mathbf{r}}{dt^2} = G \left[ \frac{m_1 + m_2}{r^3} \mathbf{r} - \mathbf{R} \right] - \mathbf{M}_0 \left[ \frac{1}{\Lambda_{10}^3} \mathbf{R} - \left( \frac{X_1}{\Lambda_{10}} + \frac{X_2}{\Lambda_{10}} \right) \mathbf{r} \right] \\
+ \frac{3}{2} \frac{G(m_1 + m_2)}{r^5} \frac{R_1}{r} J_2 (\mathbf{5} (\mathbf{r} \cdot \mathbf{s}) \mathbf{s}) - r^2 \mathbf{r} - 2r^2 (\mathbf{r} \cdot \mathbf{s}) \mathbf{s},
\]

(A.1)

where \( m_0 \) is the solar mass, \( \Lambda_{10} = R^2 + 2X_1 (\mathbf{r} \cdot \mathbf{R}) + X_2 r^2 \) and \( \Lambda_{20} = R^2 - 2X_1 (\mathbf{r} \cdot \mathbf{R}) + X_2 r^2 \) are mutual distances of the primary and the secondary components in the binary to the Sun, and \( s \) is the direction of the spin vector of primary. The latter evolves due to gravitational torques exerted by the satellite and the Sun, and we have

\(^9\) Masses of both components were computed from the estimated sizes in Table 1 and a bulk density of 2.5 g cm⁻³.

\(^{10}\) The exact nature of the shape is, however, not a very restrictive assumption. As mentioned above, the major approximation in our model is the axial symmetry of the primary.
where $\omega$ is the angular rotation frequency of the primary (constant in our model). Here, the first term is the satellite’s torque and the second term is the Sun’s torque. Because $r < R$, the satellite’s term “nominally dominates”, but if the satellite is very close to the primary’s equator the solar term also contributes. Obviously, for a spherical primary ($\gamma = 1$ and thus $(C - A)/C = 0$) the spin $s$ is fixed and the relative orbit of the binary evolves as in the point-mass problem.

Eqs. (A.1) and (A.2) are numerically propagated using a Burlisch-Stoer scheme with variable timestep complying to a chosen accuracy level (e.g., Press et al., 2007). Therefore, our characteristic timestep is typically a fraction of hour. The initial data for $(r, \dot{r}, s, d)$ correspond to a near-circular orbit in the equatorial plane of the primary (thus $s \times r = 0$; for sake of simplicity we did not explore solutions with the satellite orbit inclined to the primary’s equator). Its semimajor axis $a_{ob}$ is determined by the observed orbital period $P_{ob}$ in Table 1. The initial orientation of the primary spin axis $s$ is either determined by the orbital pole from Table 1, or we run a sample of simulations with several initial latitudes in order to test short-term stability of the solution: $B_{p1} = 0^\circ$, $B_{p2} = 20^\circ$, $B_{p3} = 40^\circ$, $B_{p4} = 60^\circ$ and $B_{p5} = 80^\circ$. We still have to select the initial ecliptic longitude $l_o$ of $s$: the possible circulation of $s$ about the Cassini states related to the precessing orbit, we choose $l_{o1} = \Omega + 90^\circ$ and $l_{o2} = \Omega + 270^\circ$. Intermediate values of $l_o$ would lead to solutions that are represented as a composition of the chosen cases. For definiteness, we choose the primary geometrical oblateness $\gamma = 0.89$, which is the value determined for the best studied binary case of (66391) 1999 KW4 (Ostro et al., 2006); however, our results and conclusions are not overly sensitive to this value. Our model is only adequate to describe the binary evolution over a moderate timescale, but is fundamentally incomplete to represent a long-term evolution. For that reason we set the maximum time of integration to 500 ky. Obviously, we also stop the evolution when the distance $r$ of the primary and secondary components would become: (i) smaller than sum of their radii (estimated in Table 1) or (ii) larger than the estimated Hill radius of their gravitational interaction (typically few hundreds of primary radii).

As demonstrated in Section 5, the oblateness of the primary efficiently couples the evolution of its spin axis $s$ and the binary orbital angular momentum $(m_1 + m_2)(\mathbf{X} \times \mathbf{r})$ for compact systems (i.e., when $r < d_z$ from footnote 4). In this situation, the orbit pole evolution may be obtained by numerical integration of a much simpler system

$$\frac{ds}{dt} = -[\mathbf{s}] \times \left( \frac{\mathbf{s} \times \left( \frac{3 \mathbf{G} m_2 C - A}{r^2} (\mathbf{r} \times \mathbf{s}) + \frac{3 \mathbf{G} m_0 C - A}{R^2} (\mathbf{R} \times \mathbf{s}) \right)}{C} \right),$$

where $\mathbf{s}$ is the primary and orbit pole referred to the system of axes precessing with the binary’s heliocentric orbit, such that the $z$-axis is rotated in the osculating plane by $-\Omega$ from the ascending node and the $x$-axis is along the osculating normal $\mathbf{n}$ to the heliocentric orbital plane. $s_{\text{eff}}$ is the effective precession constant from Eq. (11) and $\mathbf{a} = (a_1, a_2, -a_3)\mathbf{s}$ with

$$s_1 = \cos \Omega (\frac{d\mathbf{l}}{dt}) - \sin l \sin \Omega (\frac{d\mathbf{o}}{dt}),$$

$$s_2 = \sin \Omega (\frac{d\mathbf{l}}{dt}) + \sin l \cos \Omega (\frac{d\mathbf{o}}{dt}),$$

$$s_3 = \sin l/2 (\frac{d\mathbf{a}}{dt}).$$

Here, $l$ and $\Omega$ are the osculating values of inclination and longitude of ascending node of the binary heliocentric orbit, and $d\mathbf{l}/dt$ and $d\mathbf{o}/dt$ are their rates induced by planetary perturbations. Not only the system (A.3) is much simpler than Eqs. (A.1) and (A.2), but most importantly it eliminates orbital motion of the binary. As a result, the shortest timescale involved is that of secular evolution of the binary’s heliocentric orbit and consequently one can take a much longer integration timestep. Additionally, an efficient Lie–Poisson integration scheme is available for this system (e.g., Breiter et al., 2005), which optimizes the integrator speed. Therefore a sample of binary’s orbit-pole evolution can be efficiently obtained by integration of (A.3). The scheme may even contain a slow, adiabatic, evolution of the binary orbit induced by tides or BYORP effects (e.g., Taylor and Margot, 2010, 2011; Čuk and Burns, 2004; Čuk and Nesvorny, 2010; McMahon and Scheeres, 2010). In this case the precession constant $s_{\text{eff}}$ would slowly evolve, reflecting slow changes in the satellite orbit.

### Appendix B. Supplementary data


